Contents

16 Free-Electron Model of Metals 1
16.1 Classical Drude Model 2
16.1.1 Basic Assumptions of the Model 2
16.1.2 Electrical Conductivity 5
16.1.3 Heat Conduction 9
16.1.4 Hall Resistance 11
16.1.5 AC Conductivity 14
16.1.6 High-Frequency Behavior of a Classical Electron Gas . . 16
16.1.7 Magnetic Properties 20
16.1.8 Failures of the Drude Model 22
16.2 Quantum Mechanical Sommerfeld Model 24
16.2.1 Quantum Mechanical States of Free Electrons 24
16.2.2 Ground State of the Electron Gas 28
16.2.3 Excited Electron and Hole States 31
16.2.4 Density of States of the Electron Gas 32
16.2.5 Ideal Electron Gas at Finite Temperatures 34
16.2.6 Sommerfeld Expansion 37
16.2.7 Specific Heat of the Electron Gas 40
16.2.8 Equation of State for the Ideal Electron Gas 42
16.2.9 Susceptibility of the Electron Gas 44
16.3 Electric and Heat Currents in an Electron Gas 47
16.3.1 Noninteracting Electrons in a Uniform Electric Field . . . 47
16.3.2 Stationary Distribution Function 49
16.3.3 Electric and Heat Currents 53
16.3.4 Thermoelectric Phenomena 56
16.3.5 Galvanomagnetic and Thermomagnetic Phenomena 61
16.4 Scattering of Free Electrons by Impurities 64
16.4.1 Formal Solution of the Schrödinger Equation 64
16.4.2 Approach Based on Scattering Theory 65
16.4.3 Friedel Oscillations Around Impurities 69
16.4.4 Bound States Around Impurities 73
16.5 Inadequacies of the Free-Electron Model 74

17 Electrons in the Periodic Potential of a Crystal 77
17.1 Band Structure of Electronic States 78
17.1.1 Bloch States 78
17.1.2 Energy Levels of Bloch States 80
17.1.3 Eigenvalue Problem for Equivalent $\boldsymbol{k}$ Vectors 82
17.1.4 Role of the Spin–Orbit Interaction 83
17.2 Representation of the Band Structure 84
17.2.1 Reduced-, Repeated-, and Extended-Zone Schemes 85
17.2.2 Constant-Energy Surfaces and the Fermi Surface 88
17.3 Metals, Insulators, Semiconductors 89
17.4 Bloch Electrons as Quasiparticles 92
17.4.1 Creation and Annihilation Operators of Bloch States 92
17.4.2 Effective Mass of Bloch Electrons 93
17.4.3 Bloch Electrons and Holes 95
17.4.4 Density of States for Bloch Electrons 96
17.4.5 Specific Heat and Susceptibility of Bloch Electrons 98
17.5 Wannier States 100
17.5.1 Wannier Functions 100
17.5.2 Creation and Annihilation Operators of Wannier States 103
17.6 Electron States Around Impurities 104

18 Simple Models of the Band Structure 109
18.1 Nearly-Free-Electron Approximation 109
18.1.1 Band Structure in the Empty Lattice 110
18.1.2 Fermi Surface in the Empty Lattice 115
18.1.3 Effects of a Weak Periodic Potential 120
18.1.4 Lifting of Accidental Degeneracies 126
18.1.5 Fermi Surface for Nearly Free Electrons 136
18.2 Tight-Binding Approximation 139
18.2.1 Broadening of Atomic Levels into Bands 140
18.2.2 Band of s-Electrons 143
18.2.3 Band of p-Electrons 145

19 Methods for Calculating and Measuring the Band Structure 151
19.1 Matrix Methods 152
19.1.1 General Formulation of the Problem 152
19.1.2 LCAO Method 154
19.1.3 Plane-Wave Method 156
19.1.4 Orthogonalized-Plane-Wave Method 157
19.1.5 Pseudopotential Method 160
19.2 Variational Methods and Methods Based on Scattering Theory 164
19.2.1 Augmented-Plane-Wave Method 164
19.2.2 Green Function or KKR Method 168
19.2.3 Physical Interpretation of the KKR Method 173

19.2.4 LMTO Method 175
19.3 Band Structure and Fermi Surface of Simple Metals 178
19.3.1 Monovalent Metals 178
19.3.2 Divalent Metals 182
19.3.3 Trivalent Metals 183
19.3.4 Tetravalent Elements 185
19.3.5 Band Structure of Transition Metals and Rare-Earth Metals 185
19.4 Experimental Study of the Band Structure 187
19.4.1 Positron Annihilation and Compton Scattering 187
19.4.2 Photoelectron Spectroscopy 190

20 Electronic Structure of Semiconductors 195
20.1 Semiconductor Materials 196
20.1.1 Elemental Semiconductors 196
20.1.2 Compound Semiconductors 198
20.2 Band Structure of Pure Semiconductors 201
20.2.1 Electronic Structure in the Diamond Lattice 201
20.2.2 Band Structure of Silicon 204
20.2.3 Band Structure of Germanium 206
20.2.4 Band Structure of Compound Semiconductors 208
20.2.5 Indirect- and Direct-Gap Semiconductors 211
20.3 Electrons and Holes in Intrinsic Semiconductors 212
20.3.1 Number of Thermally Excited Charge Carriers 212
20.3.2 Temperature Dependence of the Chemical Potential . . . 218
20.4 Electronic Structure of Doped Semiconductors 219
20.4.1 Energy of Donor and Acceptor Levels 220
20.5 Doped Semiconductors at Finite Temperatures 224
20.5.1 Condition of Charge Neutrality 225
20.5.2 Thermal Population of Donor and Acceptor Levels 227
20.5.3 Number of Carriers in Doped Semiconductors 228

21 Semiclassical Dynamics of Electrons 239
21.1 Basics of Semiclassical Dynamics 239
21.1.1 Velocity of Bloch Electrons 240
21.1.2 Semiclassical Equation of Motion 243
21.1.3 Effective-Mass Tensor 246
21.1.4 Motion of Electrons and Holes 247
21.2 Bloch Electrons in Uniform Magnetic Fields 248
21.2.1 Motion in Reciprocal and Real Spaces 249
21.2.2 Open and Closed Orbits in Magnetic Fields 251
21.2.3 Cyclotron Frequency in a Closed Orbit 253
21.2.4 Cyclotron Mass 255
21.2.5 Cyclotron Resonance 259
21.2.6 Azbel–Kaner Resonance 262

21.2.7 Magnetoacoustic Geometric Oscillations 265
21.3 Size Effects 268
21.3.1 Extinction of the Resonance in Thin Samples 268
21.3.2 Radiofrequency Size Effect 270
21.4 Limitations of the Semiclassical Description 271
21.4.1 Conditions of the Applicability of Semiclassical Dynamics 272
21.4.2 Electric and Magnetic Breakdown 274

22 Electrons in Strong Magnetic Fields 277
22.1 Free Electrons in a Magnetic Field 277
22.1.1 One-Particle Energy Spectrum 277
22.1.2 Degree of Degeneracy of Landau Levels 281
22.1.3 Density of States 283
22.1.4 Visualization of the Landau States 288
22.1.5 Landau States in the Symmetric Gauge 290
22.1.6 Edge States 294
22.2 Landau Diamagnetism 295
22.3 Bloch Electrons in Strong Magnetic Fields 297
22.3.1 Electrons Characterized by an Effective-Mass Tensor . . . 297
22.3.2 Semiclassical Quantization 300
22.3.3 Quantization of the Orbit in Real Space 301
22.3.4 Energy Spectrum in the Tight-Binding Approximation . 302
22.3.5 Diamagnetic Susceptibility of Bloch Electrons 305
22.4 Quantum Oscillations in Magnetic Fields 306
22.4.1 Oscillations in a Two-Dimensional Electron Gas 307
22.4.2 Energy of a Three-Dimensional Electron Gas in a Magnetic Field 310
22.4.3 De Haas–van Alphen Effect 314
22.4.4 Role of Spin in Oscillatory Phenomena 317
22.4.5 Oscillations in the Magnetization at Finite Temperatures 318
22.4.6 Oscillations for General Fermi Surfaces 324
22.4.7 Experimental Results 325
22.4.8 Further Oscillation Phenomena 327

23 Electrons in Thermally Vibrating Lattices 329
23.1 Adiabatic Decoupling 330
23.2 Hamiltonian of the Electron–Phonon Interaction 333
23.2.1 Second-Quantized Form of the Hamiltonian 334
23.2.2 Electron–Phonon Matrix Element 337
23.2.3 Deformation Potential 339
23.2.4 Interaction of Electrons with Optical Phonons 341
23.3 Consequences of the Electron–Phonon Interaction 343
23.3.1 Finite Lifetime of Electron States 344

23.3.2 Polarons . . . 346
23.3.3 Kohn Anomaly and Peierls Instability . . . 350
23.3.4 Jahn–Teller Distortion . . . 353
23.3.5 Effective Electron–Electron Interaction . . . 355

24 Transport Phenomena . . . 357
24.1 General Formulation of Transport Phenomena . . . 358
24.1.1 Currents and Driving Forces . . . 359
24.1.2 Onsager Relations . . . 360
24.2 Boltzmann Equation . . . 361
24.2.1 Nonequilibrium Distribution Function . . . 362
24.2.2 Boltzmann Equation for Electrons . . . 363
24.2.3 Collision Term for Scattering by Lattice Defects . . . 365
24.2.4 Boltzmann Equation for Phonons . . . 366
24.2.5 Coupled Electron–Phonon Systems . . . 368
24.3 Relaxation-Time Approximation . . . 370
24.3.1 Relaxation Time . . . 370
24.3.2 Distribution Function in the Relaxation-Time Approximation . . . 373
24.3.3 DC Conductivity . . . 376
24.3.4 AC and Optical Conductivity . . . 378
24.3.5 General Form of Transport Coefficients . . . 379
24.3.6 Hall Effect . . . 381
24.3.7 Alternative Treatment of Transport in Magnetic Fields . 383
24.3.8 Magnetoresistance . . . 384
24.4 Transport Coefficients in Metals and Semiconductors . . . 387
24.4.1 Scattering of Electrons by Impurities . . . 387
24.4.2 Contribution of Electron–Phonon Scattering to the Resistivity . . . 389
24.4.3 Scattering by Magnetic Impurities and the Kondo Effect . . . 394
24.4.4 Electronic Contribution to Thermal Conductivity . . . 399
24.4.5 Phonon Contribution to Thermal Conductivity . . . 400
24.4.6 Transport Coefficients in Semiconductors . . . 402
24.5 Quantum Hall Effect . . . 405

25 Optical Properties of Solids . . . 411
25.1 Interaction of Solids with the Classical Radiation Field . . . 412
25.1.1 Propagation and Absorption of Light in a Dielectric . . . 413
25.1.2 Reflection and Refraction at an Interface . . . 417
25.1.3 Role of Free and Bound Electrons . . . 420
25.1.4 Scattering of Light by Free Electrons . . . 421
25.1.5 Reflectivity of Semiconductors . . . 426
25.1.6 Interaction of Light with Bound Electrons . . . 427
25.1.7 Absorption and Dispersion in Ionic Crystals . . . 430

25.2 Quantum Mechanical Treatment 435
25.2.1 Dielectric Constant of the System of Electrons 435
25.2.2 Electron–Photon Interaction 440
25.2.3 Phonon–Photon Interaction 443

26 Superconductivity 449
26.1 Superconductivity: The Phenomenon 451
26.1.1 Vanishing Resistance, Persistent Current 451
26.1.2 Isotope Effect 452
26.1.3 Meissner–Ochsenfeld Effect 452
26.1.4 Critical Field 455
26.1.5 Thermoelectric Properties 457
26.1.6 Specific Heat 458
26.1.7 Tunneling in SIS and SIN Junctions 460
26.2 Superconducting Materials 461
26.2.1 Superconducting Elements 461
26.2.2 Superconducting Compounds 464
26.2.3 High-Temperature Superconductors 466
26.3 Phenomenological Description of Superconductivity 469
26.3.1 Thermodynamics of Superconductors 470
26.3.2 Electrodynamics of Superconductors 474
26.3.3 Pippard Coherence Length 479
26.3.4 Flux Quantization 481
26.4 Ginzburg–Landau Theory 482
26.4.1 Ginzburg–Landau Equations 483
26.4.2 Gauge Symmetry Breaking 485
26.4.3 Coherence Length and Penetration Depth 486
26.4.4 Flux Quantization 489
26.4.5 Energy of the Normal Metal–Superconductor Interface . 491
26.4.6 Vortices 493
26.4.7 Upper and Lower Critical Fields 497
26.5 Josephson Effect 501
26.5.1 Relation Between the Josephson Current and the Phase of the Superconductor 501
26.5.2 DC Josephson Effect 504
26.5.3 AC Josephson Effect 506
26.5.4 Josephson Junctions in a Magnetic Field 509

27 Transport of Carriers in Semiconductor Devices 517
27.1 Interfaces and Junctions 518
27.1.1 Metal–Semiconductor Interface 518
27.1.2 MOS Structures 525
27.1.3 p–n Junctions 526
27.1.4 Heterojunctions 532

27.2 Generation, Motion, and Recombination of Carriers 533
27.2.1 Generation and Recombination of Carriers 534
27.2.2 Diffusion and Drift of Carriers 537
27.2.3 Fundamental Equations of the Physics of Semiconductor Devices 540
27.3 Biased Semiconductor Junctions 541
27.3.1 Biased Schottky Diodes 541
27.3.2 Biased MOS Structures 545
27.3.3 Current–Voltage Characteristics of p–n Junctions 545
27.3.4 Zener and Avalanche Breakdown in p–n Junctions 554
27.3.5 Tunnel Diodes 556
27.4 Simple Semiconductor Devices 558
27.4.1 Bipolar Transistors 558
27.4.2 Field-Effect Transistors 560
27.4.3 Semiconductor Lasers and Solar Cells 562
27.5 Semiconductor Quantum Devices 564
27.5.1 Electron Spectrum of Quantum Wells 565
27.5.2 Quantum Wires and Quantum Dots 567
27.6 Basics of Spintronics 573

G Quantum Mechanical Perturbation Theory 579
G.1 Time-Independent Perturbation Theory 579
G.1.1 Nondegenerate Perturbation Theory 579
G.1.2 Degenerate Perturbation Theory 583
G.2 Time-Dependent Perturbation Theory 584

H Second Quantization 589
H.1 Occupation-Number Representation 589
H.2 Second-Quantized Form of Operators 593
H.2.1 Second-Quantized Form of One-Particle Operators 593
H.2.2 Second-Quantized Form of Two-Particle Operators 595
H.2.3 Field Operators 596
H.2.4 Second-Quantized Form of the Electronic Hamiltonian . 597
H.2.5 Number-Density and Spin-Density Operators 600

I Canonical Transformation 603
I.1 Derivation of an Effective Hamiltonian 603
I.1.1 General Formulation of the Problem 603
I.1.2 RKKY Interaction 605
I.1.3 Derivation of the s–d Interaction 611
I.2 Diagonalization of the Hamiltonian 612
I.2.1 Bosonic Systems 613
I.2.2 Fermionic Systems 615

Figure Credits 617

Name Index 621

Subject Index 625