

CONTENTS

I. INTRODUCTION	1
1.1. Background	1
1.2. Objective	3
1.3. Scope	3
1.4. Structure	4
II. THE REVIEW PROCESS	
2.1. Introduction	6
2.2. Approach to reviews	7
2.2.1. Timing of reviews	7
2.2.2. Extent of reviews	7
2.2.3. Documentation required for reviews	9
2.2.4. Setting up the review team	11
2.2.5. Agreement on methods	12
2.2.5.1. Level 1 PSA for full power	12
2.2.5.2. Level 1 PSA for low power and shutdown conditions	12
2.2.5.3. Level 2 PSA	12
2.2.5.4. Level 3 PSA	13
2.2.6. Identification of/focus on important issues	13
2.2.7. Comparison with other PSAs	14
2.2.8. Reworking of an analysis by a regulatory body	14
2.2.9. Documentation of the review findings	14
2.2.10. Interactions with the utility	15
2.2.11. Research	17
2.3. Review of the aims, objectives and scope of PSAs	17
2.3.1. Development of regulatory principles for the review of PSAs	18
2.3.2. Aims and objectives of PSAs	18
2.3.3. Scope and applications of PSAs	19
2.3.4. Applications of PSAs	20
2.3.5. Sensitivity studies and uncertainty analysis	22
2.4. Review of methods and assumptions	22
2.4.1. State of the art	22
2.4.2. Level of detail	23

2.4.3.	Methods of analysis	24
2.4.4.	Sources of data	24
2.4.5.	Use of best estimate methods, assumptions and data	25
2.4.6.	Validation and verification of computer codes	26
2.5.	Review/audit of the utility's PSA production process	27
2.5.1.	Scope of the review/audit	27
2.5.2.	Quality assurance	28
2.5.3.	Organization of the PSA production team	28
2.5.4.	Future updating/development of the PSA	29
3.	REVIEW OF LEVEL 1 PSAs FOR FULL POWER OPERATION	29
3.1.	Identification and grouping of initiating events	30
3.1.1.	Identification of initiating events	30
3.1.2.	Grouping of initiating events	32
3.1.3.	Further guidance on initiating events	32
3.1.3.1.	LOCAs	33
3.1.3.2.	Transients	33
3.1.3.3.	Loss of grid power/station blackout	34
3.2.	Event sequence analysis	35
3.2.1.	Success criteria	35
3.2.2.	Event sequence analysis	37
3.2.3.	Plant damage states	39
3.3.	Systems analysis	41
3.3.1.	Fault tree analysis	41
3.3.2.	Systems information required	44
3.4.	Analysis of dependent failures	44
3.4.1.	Types of dependences that can occur	44
3.4.2.	Inclusion of dependences in the PSA	46
3.5.	Analysis of passive systems, components and structures	46
3.5.1.	Passive safety systems	47
3.5.2.	Passive structures and components	47
3.6.	Human reliability assessment	49
3.6.1.	Framework for HRA	49
3.6.2.	Categorization of human interactions	51
3.6.3.	Assessment	52
3.7.	Data required for PSAs	55
3.7.1	Initiating event frequencies	56
3.7.2.	Component failure probabilities	56
3.7.3.	Component outage frequencies and durations	58

3.8.	Analysis of computer based systems	58
3.8.1.	Introduction to computer based systems	58
3.8.2.	Reliability analysis of computer based systems	60
3.8.3.	Software dependences	61
3.8.4.	Sensitivity studies	61
3.8.5.	Further considerations	62
3.9.	Analysis of internal and external hazards	62
3.9.1.	Identification of internal and external hazards	63
3.9.2.	Seismic analysis	65
3.9.3.	Fire analysis	67
3.9.4.	Internal flood analysis	69
3.10.	Quantification of the analysis	70
3.11.	Sensitivity analysis, uncertainty analysis and importance analysis	71
3.11.1.	Sensitivity analysis	72
3.11.2.	Uncertainty analysis	72
3.11.3.	Importance analysis	73
3.12.	Results of PSAs	74
3.12.1.	Review of PSA results	74
3.12.2.	Use of PSA results	75
3.13.	Audit of PSA QAs	76
4.	REVIEW OF LEVEL 1 PSAs FOR LOW POWER AND SHUTDOWN	76
4.1.	Plant operating states which arise during low power and shutdown conditions	78
4.1.1.	Plant familiarization	78
4.1.2.	Identification of the POSS	78
4.1.3.	Grouping of the POSS	80
4.2.	Initiating events	80
4.2.1.	Identification of initiating events	80
4.2.2.	Grouping and screening of initiating events	82
4.3.	Accident sequence modelling	83
4.3.1.	Success criteria	83
4.3.2.	Event sequence analysis	84
4.3.3.	Plant damage states	85
4.4.	Systems analysis	85
4.5.	Analysis of dependent failures	86
4.6.	Human reliability assessment	86

4.7.	Data required for the shutdown PSA	88
4.7.1.	Initiating event frequencies	88
4.7.2.	Component failure rates	89
4.8.	Analysis of internal and external hazards	89
4.8.1.	Internal fires	89
4.8.2.	Internal flooding	90
4.8.3.	Dropped loads	90
4.9.	Quantification of analyses	91
4.10.	Interpretation of the results of shutdown PSAs	91
 5.	 REVIEW OF LEVEL 2 PSAs.....	 92
5.1.	Familiarization with plant data and systems	93
5.1.1.	Familiarization with the systems which may be operated during a severe accident	93
5.1.2.	Plant and containment data	94
5.2.	Interface between Level I and Level 2 PSAs	96
5.2.1.	Plant damage states	96
5.2.2.	PDS grouping	96
5.2.3.	PDS analysis and quantification	98
5.2.4.	Human reliability assessment related to PDSs	98
5.2.5.	PDS analysis results	99
5.3.	Accident progression modelling	100
5.3.1.	Accident progression models	100
5.3.2.	Computer codes used to perform accident progression analysis	100
5.3.3.	Treatment of important accident phenomena	101
5.3.4.	Model input data	101
5.3.4.1.	Plant specific data used to represent a plant	102
5.3.4.2.	Plant modelling structure (spatial nodalization schemes)	102
5.3.4.3.	Accident scenario input	103
5.3.4.4.	Input for models of accident phenomena	103
5.3.5.	Results of Level 2 PSAs	103
5.3.6.	Treatment of major uncertainties	104'
5.4.	Containment performance analysis	105
5.4.1.	Structural response analysis	106
5.4.2.	Containment bypass	107
5.4.3.	Failure of containment isolation	107
5.5.	Probabilistic modelling framework	108

5.5.1.	Content and format of Level 2 PSA models	108
5.5.1.1]	Explicit recognition of the important time phases of severe accident progression	108
5.5.1.2.	Distinction of discrete system events from phenomena	109
5.5.1.3.	Consistency in the treatment of severe accident events from one time frame to another	109
5.5.1.4.	Recognition of the interdependences of phenomena	110
5.5.2.	Presentation of results	110
5.6.	Quantification of the containment event trees	111
5.6.1.	Assignment of event probabilities	111
5.6.2.	Technical basis for event quantification	112
5.6.3.	Uncertainties in event quantification	113
5.7.	Characterization of the radiological source terms	114
5.7.1.	Grouping of radiological source terms	114
5.7.2.	Grouping of fission products	116
5.7.3.	Fission product release and transport	117
5.7.4.	Treatment of uncertainties in source term estimates	118
5.7.5.	Presentation of the results	118
5.8.	Results of Level 2 PSAs	118
5.8.1.	Review of PSA results	118
5.8.2.	Use of PSA results	119
5.9.	Audit of PSA quality assurance	120
 6.	REVIEW OF LEVEL 3 PSAs	120
6.1.	Aims of Level 3 PSAs	121
6.2.	Radiological source term characterization and grouping	122
6.3.	Consequence analysis codes	123
6.4.	Data requirements for consequence analyses	124
6.4.1.	Meteorological data	125
6.4.2.	Population, agricultural and economic data	125
6.5.	Emergency planning and countermeasures	127
6.5.1.	Emergency planning and countermeasures options	127
6.5.2.	Emergency planning and countermeasures data	128
6.6.	Results of Level 3 PSAs	128
6.6.1.	Quantification of the analysis	128
6.6.2.	Sensitivity studies and uncertainty analysis	129
6.6.3.	Use of results	129

APPENDIX: ACCIDENT PHENOMENA TO BE ADDRESSED WITH ACCIDENT PROGRESSION MODELS	131
REFERENCES	135
LIST OF ABBREVIATIONS	139
CONTRIBUTORS TO DRAFTING AND REVIEW	141